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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sarraf et al.

Serial No.: 09/916,663

For: INTERFACE TO A NETWORK MANAGEMENT SYSTEM OF
A COMMUNICATION NETWORK

Filed: 26 JUL 2001

Examiner: Apu M. Mofiz

Art Unit: 2175

Confirmation No.: 3478

Customer No.: 27,623

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JUN 22 2004

Technology Center 2100

Attorney Docket No.: US20 01 0040

AMENDMENT

**COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450**

Dear Sir:

Applicants are submitting the present document in response to an Office Action dated 11 MAR 2004 (hereinafter "the Office Action"). Please amend the above-noted application as follows:

Amendments to Claims begin on page 2 of this paper.

Remarks begin on page 7 of this paper.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sarraf et al.
Serial No.: 09/916,663
For: INTERFACE TO A NETWORK MANAGEMENT SYSTEM
OF A COMMUNICATION NETWORK
Filed: July 21, 2001
Examiner: Apu M. Mofiz
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Confirmation No.: 3478
Customer No.: 27,623

Attorney Docket No.: US 20 01 0040

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

AMENDMENT TRANSMITTAL

We are enclosing an Amendment in the above-identified application.

Petition for extension of time pursuant to 37 C.F.R. §§ 1.136 and 1.137 is hereby made if, and to the extent, required. The fee for this extension of time is calculated to be \$_____ to extend the time for filing this response until _____.

The fee for any change in number of claims has been calculated as shown below.

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest Number Previously Paid	Present Extra	Rate	
Total Claims	12	Minus	12	0	x \$18.00	\$
Independent Claims	6	Minus	6	0	x \$86.00	\$
MULTIPLE DEPENDENT CLAIM FEE				x \$290.00 = \$		
TOTAL FEE FOR CLAIM CHANGES				\$0.00		
1/2 FILING FEE FOR SMALL ENTITY				\$N/A		

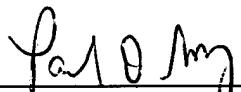
The total fee for this amendment, including claim changes and any extension of time is calculated to be \$ 0.00 .

 A check in the amount of \$ 0.00 is attached.

XXX Enclosed is a copy of the cover sheet of priority document, transmittal letter dated July 21, 2001 and copy of the return receipt postcard.

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

June 14, 2004
Date



Paul D. Greeley, Esq.
Attorney for Applicant
Registration No. 31,019
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Telefax: (203) 327-6401

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON JUNE 14, 2004 .

Allison Berkman
NAME



SIGNATURE

6/14/04
DATE



Serial No. 09/916,663

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Attached hereto is a copy of the following documents:

- (1) a cover sheet of priority document EP 00127965.2;
- (2) a transmittal letter that indicates the priority document was mailed on 26 JUL 2001;
and
- (3) a postcard that indicates submission of the priority document, and that includes a stamp of the USPTO acknowledging receipt thereof.

IN THE U.S. PATENT AND TRADEMARK OFFICE
Patent Application Transmittal Letter

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COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Technology Center 2100

Sir:

Transmitted herewith for filing under ~~37 CFR 1.53(b)~~ is a(n): ☒ Utility ☐ Design

☒ original patent application,

☐ continuation-in-part application

INVENTOR(S): **Alain SARRAF and Uwe NASSAL**

TITLE: **AN INTERFACE TO A NETWORK MANAGEMENT SYSTEM OF A COMMUNICATION NETWORK**

Enclosed are:

EL793793595US

☒ The Declaration and Power of Attorney. ☐ signed ☒ unsigned or partially signed

☐ _____ sheets of drawings (one set) ☐ Associate Power of Attorney

☐ Form PTO-1449 ☒ Information Disclosure Statement and Form PTO-1449

☒ Priority document(s) ☒ (Other) Preliminary Amendment (fee \$ _____)

CLAIMS AS FILED BY OTHER THAN A SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
TOTAL CLAIMS	12 — 20	0	X \$18	\$ 0
INDEPENDENT CLAIMS	6 — 3	3	X \$80	\$ 240
ANY MULTIPLE DEPENDENT CLAIMS	0		\$270	\$ 0
BASIC FEE: Design (\$320.00); Utility (\$710.00)				\$ 710
TOTAL FILING FEE				\$ 950
OTHER FEES				\$
TOTAL CHARGES TO DEPOSIT ACCOUNT				\$ 950

Charge \$ 950 to Deposit Account 50-1078. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 50-1078 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 50-1078 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

"Express Mail" label no. EL793793595US

Date of Deposit July 26, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By

Allison Berkman

Typed Name: Allison Berkman

Respectfully submitted,

Alain SARRAF and Uwe NASSAL

By Paul D. Greeley

Paul D. Greeley

Attorney/Agent for Applicant(s)

Reg. No. 31,019

Date: July 26, 2001

Telephone No.: (203) 327-4500



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JUN 22 2004

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Atty. docket #: US 2001 0040.

File No. 987.0021USU Application No.: herewith

SERIAL NUMBER NOTIFICATION

APPLICATION BRANCH: Please stamp Serial Number assigned to this case and return card to sender.

Applicant(s): Sarraf and Nassal

Title: "An Interface to a Network Management system of a Communication Network"

<input checked="" type="checkbox"/> Total # of Pages of Specification	<input type="checkbox"/> Verified Statement Claiming Small Entity
# <u>8</u> Sheets of Description	<input checked="" type="checkbox"/> IDS and PTO Form 1449 (w/copies of references)
# <u>2</u> Sheets of Claims	<input checked="" type="checkbox"/> Preliminary Amendment
# <u>1</u> Sheets of Abstract	<input type="checkbox"/> Provisional Application Cover Sheet
# <u>0</u> Sheets of Drawings	<input type="checkbox"/> Rule 53(b) Continuation/Divisional
<input checked="" type="checkbox"/> Combined Declaration & PPA	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Assignment w/recording sheet	<input checked="" type="checkbox"/> Transmittal Letter (in duplicate)
<input checked="" type="checkbox"/> Priority Document	

OTLINDT, GREELEY, RUGGIERO & PERLE

A Check drawn in the amount of \$ _____ (# _____) is also attached hereto.

Mailed July 26, 2001 ☐ COM ☒ COEM # EL793793595US





**Europäisches
Patentamt**

**European
Patent Office**

**Office européen
des brevets**

Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

00127965.2

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

I.L.C. HATTEN-HECKMAN

DEN HAAG, DEN
THE HAGUE,
LA HAYE, LE

23/01/01